

Eye-ing the Future

Biometrics for Intentional Identity Assurance

princeton
IDENTITY





Do biometrics-enabled identity assurance increase security and save money?

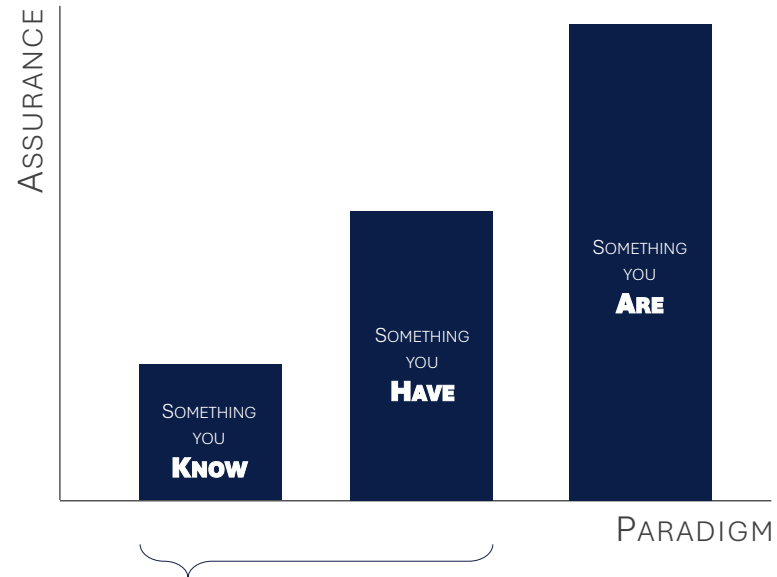
Yes*



princeton
IDENTITY

Identity Assurance

Biometrics offer clear advantages



CARRY & REMEMBER

VULNERABILITY
INCONVENIENCE

ASSIGN & MANAGE

COMPLEXITY
COSTS



TCO Benefits of Biometrics



MATERIALS AND RECURRING CONSUMABLES

- NO PER-USE TOKEN COSTS
- NO BLANKS, FABRICATION EQUIPMENT
- NO REPLACEMENT AND TURNOVER (~10-30% / YR) PROCESSES



ORGANIZATIONAL RISKS AND LIABILITIES

- HARDENED SECURITY = LESS LOSS, CONSEQUENCES
- MORE-FOCUSED INCIDENT RESPONSE



EMPLOYEE PRODUCTIVITY, ORGANIZATIONAL EFFICIENCY

- NO MORE FORGOTTEN OR LOST KEYS, PHONE, BADGE, ETC...
- IMPROVED ACCOUNTABILITY (CARD SHARING, BUDDY-PUNCHING)
- REDUCED CREDENTIAL-ADMINISTRATION OVERHEAD



Identity Assurance

Iris biometrics are THE ideal for intentional distances

INFORMATION

RANDOM STRUCTURE (AGE 3)

GENETICS INDEPENDENT

HIGH CONTENT

PHYSICAL

ACCESSIBLE / NON-INTRUSIVE

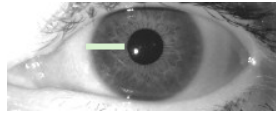
HARD TO OBSCURE / ALTER / SPOOF

SELF CLEANING & REDUNDANT

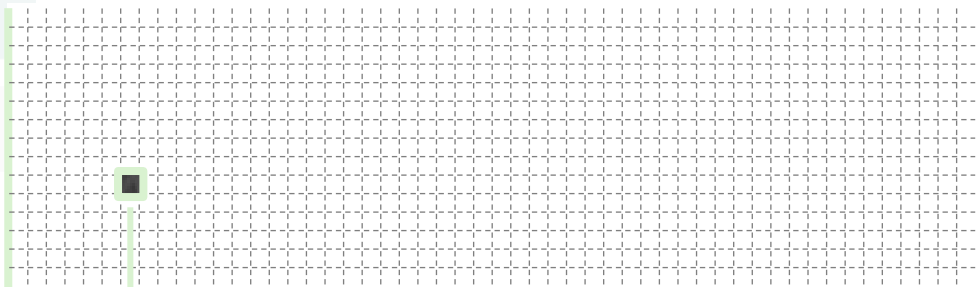


Iris Image → **Digital Template**

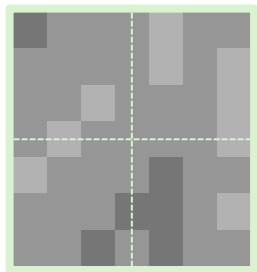
Iris recognition ~ reading a QR code



iris-sclera boundary



iris-pupil boundary



10



01



00



11



Adoption of **Iris Recognition**

Iris is more accurate, but face has traditionally been easier... unless you have Iris on the Move™

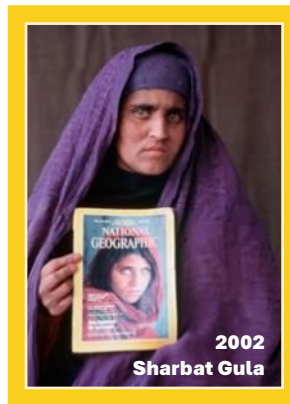
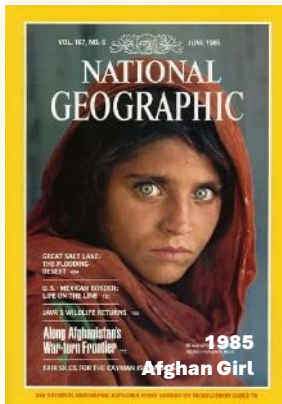
1936

DR. FRANK BURCH, AN OPHTHALMOLOGIST,
FIRST TO SUGGEST IRIS AS BIOMETRIC IDENTIFIER

1991

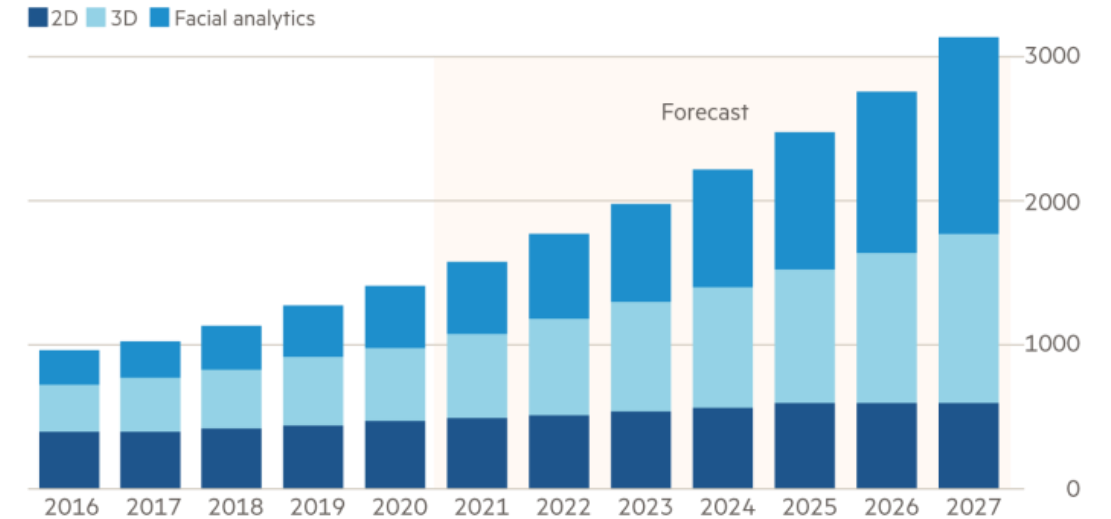
PROF. JOHN DAUGMAN, CAMBRIDGE UNIVERSITY,
FIRST PATENTS ON ALGORITHM, PRACTICAL FRAMEWORK

2002



North America's facial recognition market expected to double in size by 2027

Technology market size (\$m)



Source: Grand view research
© FT

Iris vs. Face: **Biometrics**

IRIS	CHARACTERISTICS	FACE
✓	UNIQUENESS	
✓	STABILITY	
✓	HIGH INFORMATION CONTENT	
✓	GENETICS INDEPENDENT	
✓	ACCESSIBLE / NON-INTRUSIVE	✓
✓	HARD TO OBSCURE / ALTER	
✓	SELF CLEANING	
✓	REDUNDANCY	





Does it make sense to quantify
biometric matching with a
percentage?

No



Biometric ID Assurance Metrics: **FMR & FNMR**

METRIC	WHAT IT IS	WHAT IT MEANS	TYPICAL RANGE
FMR FALSE MATCH RATE	IDENTIFYING A SUBJECT AS SOMEONE ELSE	- GRANTING ACCESS TO SOMEONE WHO SHOULD BE DENIED - CHARGING A PURCHASE TO SOMEONE ELSE’S ACCOUNT	$10^{-3} \rightarrow 10^{-9}$ ONE-IN-A-THOUSAND → ONE-IN-A-BILLION
FNMR FALSE NON-MATCH RATE	NOT IDENTIFYING SOMEONE KNOWN	- DENYING ACCESS TO SOMEONE WHO SHOULD HAVE ACCESS - DENYING SOMEONE’S PURCHASE DESPITE AN ACTIVE ACCOUNT	$10^{-2} \rightarrow 10^{-3}$ 1.0 PERCENT → 0.1 PERCENT

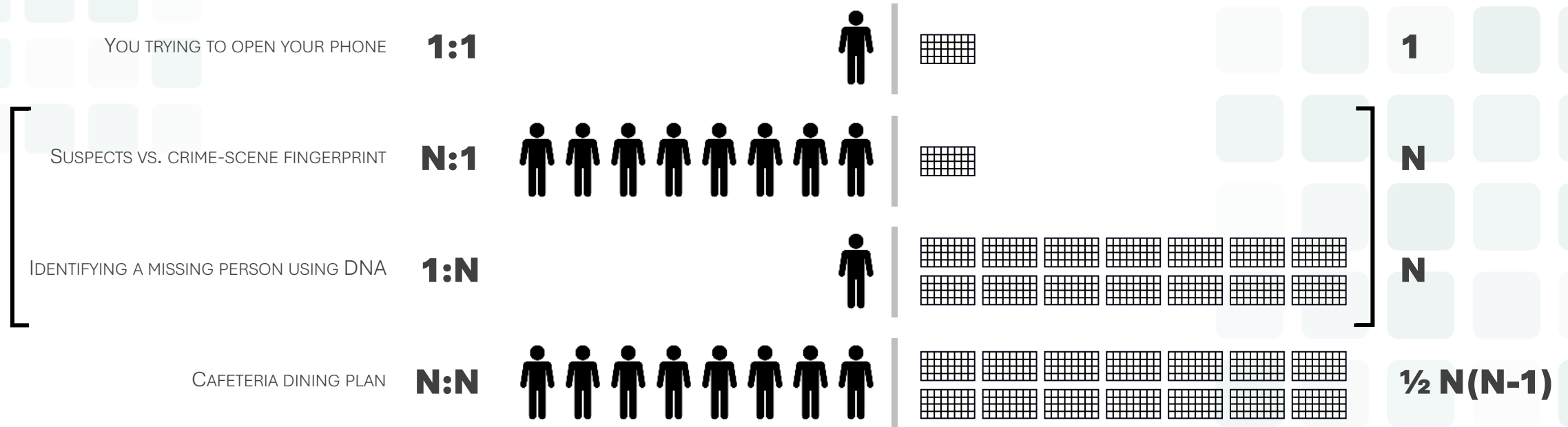


FMR = 0

FNMR = 100%

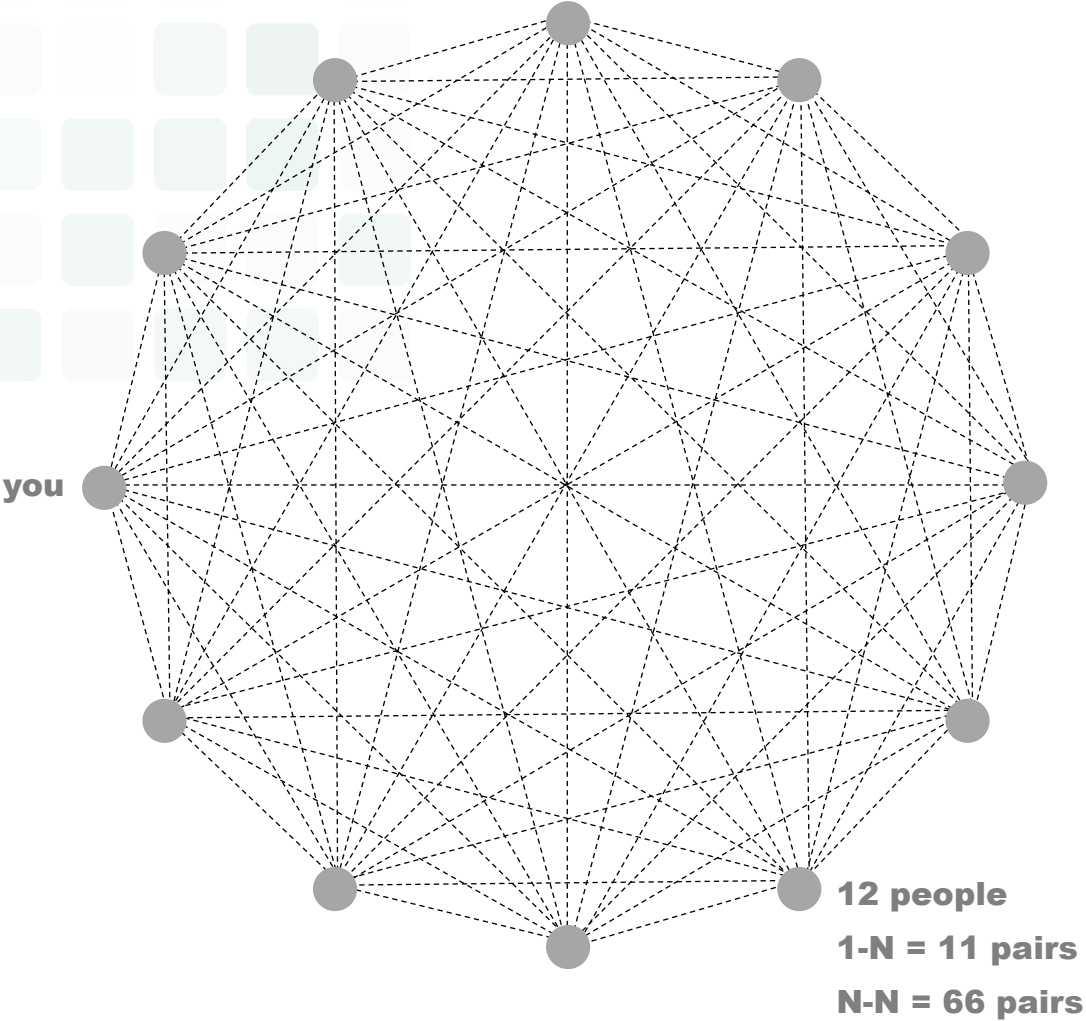


Examining FMR: **Matching Paradigms**



FMR $\ll 1 / \#$

Examining FMR: **Scalability**



n	$\frac{1}{2} n(n-1)$ connections	equivalent FMR
2	1	$\sim 10^{-1}$
4	6	$\sim 10^{-1}$
6	15	$\sim 10^{-2}$
12	66	$\sim 10^{-2}$
100	4,950	$\sim 10^{-4}$
1,415	1,000,405	$\sim 10^{-6}$
44,750	1,001,285,875	$\sim 10^{-9}$
10,000,000	49,999,995,000,000	$\sim 10^{-14}$
100,000,000	4,999,999,950,000,000	$\sim 10^{-16}$
100,000,000,000	4,999,999,999,950,000,000,000	$\sim 10^{-22}$

Face Recognition is **Limited**

Intrinsic deficiencies restrict critical use

FACE
"1 in a million"

$$N < 1,400$$

Iris
"1 in a billion"

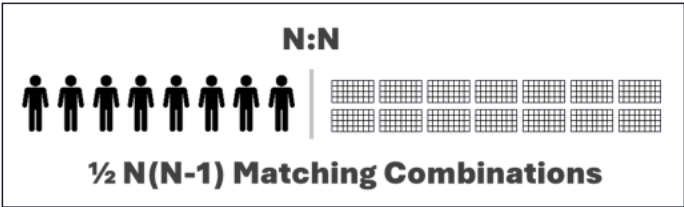
$$N < 45,000$$

Iris & Face
"1 in a million · billion"

$$N < 45,000,000$$

Iris & Iris
"1 in a billion · billion"

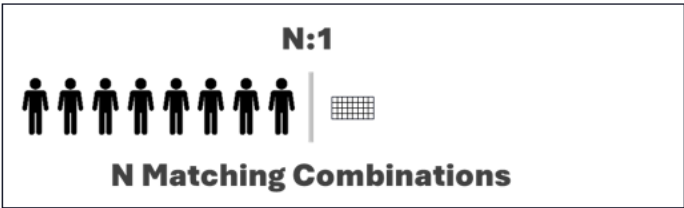
$$N < 1,400,000,000$$



FACE & CARD / TICKET*

Vulnerability
Inconvenience
Complexity
Costs

$$N < 1,000,000^*$$





Does iris recognition
improve on the limitations of
face recognition?

Yes



princeton
IDENTITY

Iris vs. Face: **Recognition**

**Implementation
and
Usability**

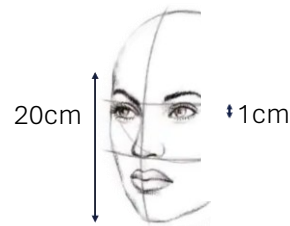
**Performance
and
Results**

IRIS	CHARACTERISTICS	FACE
-	TOUCHLESS / FRICTIONLESS	-
	OPTICS REQUIREMENTS	✓
-	POWER, COMPUTING, & STORAGE	-
-	ALGORITHMIC COMPLEXITY	-
-	INSTALLATION COMPLEXITY	-
-	COST	-
1m	'EASY' SUBJECT PROXIMITY	5m
-	SUBJECT MOTION TOLERANCE	-
-	SPEED	-
✓	POSE, EXPRESSION, & APPAREL TOLERANCE	
✓	USER CONVENIENCE	
✓	SPOOF-RESISTANCE	
✓	DEMOGRAPHIC-BIAS FREE	
✓	ACCURACY	
✓	SCALABILITY	
✓	PRIVACY	

Iris on the Move™

Enabling a world-class combination of security, convenience, and TCO

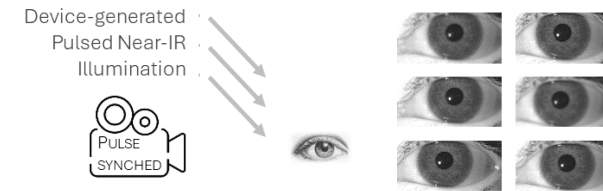
The Problem: **Subject Motion**



Face requires **15** pixels / cm
Iris requires **150** pixels / cm

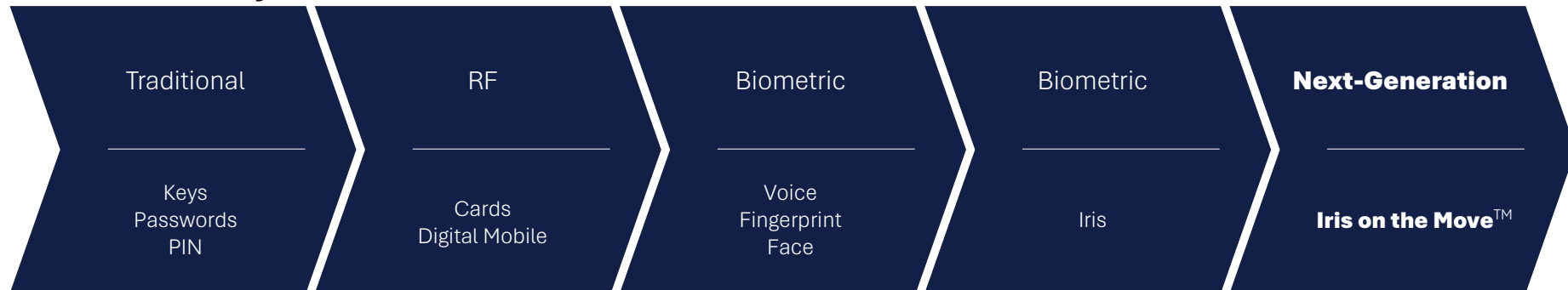
Iris is **more accurate** than face, but **harder** to capture.

The Solution: **Iris on the Move™**



Solves subject-motion issues
Improves device speed, accuracy
Reduces HW complexity, costs
Enables stationary and walking portals

Evolution of Identity Assurance for Access Control...



Vulnerable & Insecure

Fraud & Overhead

Inconvenient & Limited

Slow & Expensive

Secure, Fast, & Convenient

How To: Iris Recognition



View the whole video at: <https://www.youtube.com/watch?v=2Czq3CbcH9w>

Iris Recognition **is Best...**

REQUIRE HIGHEST POSSIBLE SECURITY / ID ASSURANCE

PPE, ATHLETICS / SUBJECT FACES OBSCURED

LARGE USER POPULATIONS, LONG-TERM USE

N-N MATCHING (NOT 1-1, 1-N) APPLICATIONS

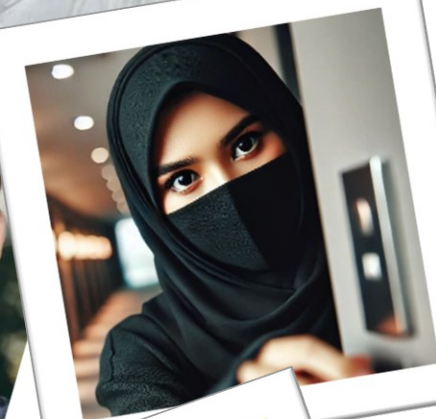
AVOID DEMOGRAPHIC / RELATIONAL BIAS

NO SURVEILLANCE, YES PRIVACY



You

Not your Appearance



Thank You.

[Q&A]

princeton
IDENTITY

